

PCTWORLD INTELLECTUAL PROPERTY ORGANIZATION
International Bureau

INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁷ : B65G 49/07	A1	(11) International Publication Number: WO 00/44653
		(43) International Publication Date: 3 August 2000 (03.08.00)

(21) International Application Number: PCT/US00/00031

(22) International Filing Date: 3 January 2000 (03.01.00)

(30) Priority Data:
09/243,516 1 February 1999 (01.02.99) US

(71) Applicant: BROOKS AUTOMATION, INC. [US/US]; 15 Elizabeth Drive, Chelmsford, MA 01824 (US).

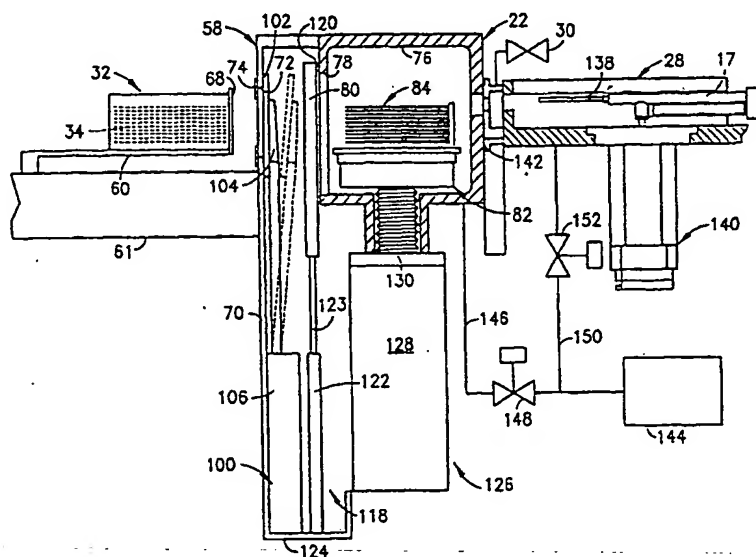
(72) Inventor: HOFMEISTER, Christopher; 172 Mill Shore Drive, Hampstead, NH 03841 (US).

(74) Agent: GREEN, Clarence, A.; Perman & Green, LLP, 425 Post Road, Fairfield, CT 06430 (US).

(81) Designated States: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Published
With international search report.

(54) Title: SUBSTRATE CARRIER AS BATCHLOADER



(57) Abstract

A semiconductor wafer batchloading system comprises a portable carrier (32) for supporting and transporting wafers in a substantially particle free environment. A carrier door (42) is movable between an open position and a closed position overlying a carrier port (38) for sealing the interior of the carrier (32) from the surrounding environment. The carrier (32) is movable on a platform (60) between withdrawn and advanced positions for delivering multiple wafers to a wafer receiving station. The carrier door drive mechanism includes a generally planar door opener (102) movable between a lowered position and a raised position substantially coextensive with the carrier port. (38).

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece			TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	NZ	New Zealand		
CM	Cameroon			PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

SUBSTRATE CARRIER AS BATCHLOADER

BACKGROUND OF THE INVENTION

5

1. Field of the Invention:

10

The present invention relates to standardized mechanical interface systems for reducing particle contamination and more particularly to apparatus employing sealed containers suitable for use in semiconductor processing equipment to prevent particle contamination. Still more particularly, the invention relates to such systems enabling direct transfer, without use of an articulated arm, of multiple semiconductor wafers at a time between a transportable container or carrier and a controlled environment load lock chamber as they await further transfer to a processing station, or elsewhere. Throughput of the manufacturing process is thereby significantly increased while simplifying the mechanisms involved.

15

20

Throughout this disclosure, the term "wafer" will be used for purposes of consistency to refer to planar substrates such as silicon wafers and glass flat panels, but it will be understood that it is intended to be used in the broad context so as to be applicable to all substrates. Such substrates are circular and were previously sized to a diameter of 200 mm and a thickness of approximately 0.760 mm although, currently, the diameter of choice has evolved to 300 mm with the same thickness.

25

2. Description of the Prior Art

30

Control of particulate contamination is imperative for cost effective, high-yielding and profitable manufacturing of VLSI circuits. Because design rules increasingly call for smaller and smaller lines and spaces, it is necessary to exert greater and greater control on the number of particles and to remove particles with smaller and smaller diameters.

35

Some contamination particles cause incomplete etching in spaces between lines, thus leading to an unwanted electrical bridge. In addition to such physical defects, other contamination particles may cause electrical failure

due to induced ionization or trapping centers in gate dielectrics or junctions.

5 The main sources of particulate contamination are personnel, equipment, and chemicals. Particles given off by personnel are transmitted through the environment and through physical contact or migration onto the wafer surface. People, by shedding of skin flakes, for example, are a significant source of particles that are easily ionized and cause defects.

10 Modern processing equipment must be concerned with particle sizes which range from below 0.01 micrometers to above 200 micrometers. Particles with these sizes can be very damaging in semiconductor processing. Typical semiconductor processes today employ geometries which are 1 micrometer and under. Unwanted contamination particles which have geometries measuring greater than 0.1 micrometer substantially interfere with 1
15 micrometer geometry semiconductor devices. The trend, of course, is to have smaller and smaller semiconductor processing geometries.

In the recent past, "clean rooms" were established in which through filtering and other techniques, attempts were made to remove particles having
20 geometries of 0.03 micrometer and above. There is a need, however, to improve the processing environment. The conventional "clean room" cannot be maintained as particle free as desired. It is virtually impossible to maintain conventional clean rooms free of particles of a 0.01 micrometer size and below. Although clean room garments reduce particle emissions, they
25 do not fully contain the emissions. It has been found that as many as 6000 particles per minute are emitted into an adjacent one cubic foot of space by a fully suited operator.

30 To control contamination particles, the trend in the industry is to build more elaborate (and expensive) clean rooms with HEPA and ULPA recirculating air systems. Filter efficiencies of 99.999% and up to ten complete air exchanges per minute are required to obtain an acceptable level of cleanliness.

35 Particles within the equipment and chemicals are termed "process defects."

To minimize process defects, processing equipment manufacturers must prevent machine generated particles from reaching the wafers, and suppliers of gases and liquid chemicals must deliver cleaner products. Most important, a system must be designed that will effectively isolate wafers from particles during storage, transport and transfer into processing equipment. The Standard Mechanical Interface (SMIF) system has been devised, and used, to reduce particle contamination by significantly reducing particle fluxes onto wafers. This end is accomplished by mechanically ensuring that during transport, storage and processing of the wafers, the gaseous media (such as air or nitrogen) surrounding the wafers is essentially stationary relative to the wafers and by ensuring that particles from the ambient outside environment do not enter the immediate internal wafer environment.

The SMIF concept is based on the realization that a small volume of still, particle-free air, with no internal source of particles, is the cleanest possible environment for wafers.

A typical SMIF system utilizes (1) minimum volume, dustproof boxes or carriers for storing and transporting (2) open rack wafer cassettes, and (3) doors on the boxes or carriers designed to mate with doors on the interface ports on the processing equipment and the two doors being opened simultaneously so that particles which may have been on the external door surfaces are trapped ("sandwiched") between the doors.

In a typical SMIF system, a box or carrier is placed at the interface port and latches release the box door and the port door simultaneously. A mechanical elevator lowers the two doors, with the cassette riding on top. A manipulator picks up the cassette and places it onto the cassette port/elevator of the equipment. After processing, the reverse operation takes place.

SMIF systems have proved to be effective and this fact has been shown by experiments using SMIF components both inside and outside a clean room. The SMIF configuration achieved a ten-fold improvement over the conventional handling of open cassettes inside the clean room.

Using SMIF systems, it was customary to carry a large number of the wafers within the box or carrier by supporting them in a spaced relationship by means of a cassette. Using this technique, the cassette would be loaded with a supply of wafers, transported into the box or carrier, then subsequently wafers would be removed from the cassette in the carrier one by one for placement into a reception chamber at the site of further processing.

A recent development relating to SMIF systems is presented in U.S. Patent No. 5,752,796 to Muka which discloses the use of such a portable carrier for transporting multiple semiconductor wafers in a substantially particle free environment. A cassette freely received within the carrier for supporting the wafers in spaced generally stacked relationship is delivered to an environmentally clean load lock. A mini-environment defines an interior region adjacent the load lock for the engageable reception of the carrier and sealingly isolates the load lock chamber and the interior of the carrier from the surrounding atmosphere. Transfer mechanisms serve to retrieve the cassette from the carrier and move the carrier into the load lock chamber while maintaining it in a particle free environment. A first transport mechanism within the mini-environment retrieves the cassette from the carrier and moves it into its interior region and a second transport mechanism within the load lock retrieves the cassette from the interior region of the mini-environment and moves it into the load lock chamber. To aid in moving the cassette into the interior region, a hood of the mini-environment is movable between lowered and raised positions while maintaining a capillary seal with its upstanding walls. Sensors inform whether a cassette is present in the SMIF box, whether wafers are properly positioned in the cassette, and whether the cassette is properly gripped as it is being moved into the interior region. Laminar flow air is continually directed through the interior region of the mini-environment and filtered to remove foreign particles from the wafers being supported in the cassette.

The invention results in an efficient, rapid operating, unified and simplified design which assures maximum throughput of processed wafers while protecting them from the outside environment throughout performance of

the process steps. It moves a cassette containing a plurality of wafers into the vacuum lock, while vented, while maintaining the clean conditions of the SMIF box by utilizing the load arm already available in existing load lock constructions instead of a separate arm in a SMIF unloader or within the mini-environment. Additionally, the system of the invention introduces progressive cross flows of air as the SMIF box is opened and the cassette with its multiple wafers therein is brought into the mini-environment.

Unfortunately, the use of cassettes requires additional equipment inventory, increases the volume requirement for the box or carrier, adds to the complexity of the system, increases the cost of original equipment and for upkeep of the equipment of the system. Also, risks of contamination, notwithstanding vigorous air circulation, are always present when using cassettes because the plastic material of which they are constructed often outgasses and it is difficult to control such an occurrence.

More recent improvements have eliminated the need for cassettes and examples of such newer technology is found in a number of commonly assigned U.S. Patents, for example, No. 5,609,459 to Muka, and Nos. 5,607,276, 5,613,821, and 5,664,925 to Muka et al.

It was in light of the foregoing state of the art that the present invention has been conceived and is now reduced to practice. Specifically, the invention results from efforts to reduce equipment inventory and therefore initial cost, provide a simpler and more compact construction, reduce the cost of maintenance, and increase throughput of processed items.

SUMMARY OF THE INVENTION

According to the invention, a system is provided for batch loading semiconductor wafers into a load lock from a portable carrier used for supporting a plurality of the wafers in spaced relationship and transporting them in a particle free environment. The carrier is supported adjacent a load lock chamber which also has a particle free environment. A carrier door is movable between an open position and a closed position overlying a carrier port for sealing the interior of the carrier from the surrounding environment. The carrier is movable on a platform between withdrawn and advanced positions for delivering multiple wafers to a wafer receiving station. A plurality of spaced rack members support the wafers, each being aligned with an associated one of a plurality of spaced shelves on the wafer receiving station. The wafer receiving station may be located within a load lock defining a chamber having a substantially particle free environment and including a load lock port with a load lock door movable between a closed position overlying the load lock port and an open position spaced therefrom. Alternatively, the wafer receiving station may be associated with a toll front end or a processing chamber of a batch tool. A locking mechanism releasably locks the carrier door to the carrier. The carrier door drive mechanism includes a generally planar door opener movable between a lowered position and a raised position substantially coextensive with the carrier port. The door opener is also movable between a first position proximate the carrier port and a second position distant from the carrier port and a removal mechanism operates the locking mechanism to release the carrier door from the carrier port and supports the carrier door when the carrier door has been released from the carrier.

The invention results in a construction by reason of which the purposes of the carrier and cassette are unified while eliminating the need for a cassette and the inventory which is undesirably associated with the use of cassettes. The risk of exposing wafers to undesirable particle contamination present in moving air as in traditional SMIF loaders where the cassette is lowered from a SMIF box then transferred to a load lock is eliminated. In the instance of

the invention, wafers are loaded in a batch configuration which reduces overhead time and results in higher tool throughput.

Other and further features, advantages, and benefits of the invention will become apparent in the following description taken in conjunction with the following drawings. It is to be understood that the foregoing general description and the following detailed description are exemplary and explanatory but are not to be restrictive of the invention. The accompanying drawings which are incorporated in and constitute a part of this invention, illustrate one of the embodiments of the invention, and, together with the description, serve to explain the principles of the invention in general terms. Like numerals refer to like parts throughout the disclosure.

BRIEF DESCRIPTION OF THE DRAWINGS

In the drawings:

Fig. 1 is a top plan diagrammatic view of a wafer processing system embodying the present invention, with the cover removed from the transport chamber;

Fig. 2 is a perspective view of a portable carrier of the FOUP ("front opening unified pod") design for supporting and transporting a plurality of wafers in spaced relationship;

Fig. 3A is a top perspective view of the portable carrier illustrated in Fig. 2 but containing a complement of wafers;

Fig. 3B is a bottom perspective view of the portable carrier illustrated in Fig. 2 but without a complement of wafers;

Fig. 4A is a perspective view of the carrier support and carrier door drive and opening mechanism with the carrier door closed;

Fig. 4B is a perspective view, similar to Fig. 4A with the carrier door open;

Fig. 4C is a perspective view of the components illustrated in Figs. 4A and 4B but taken from the other side;

5 Fig. 5 is an elevation view illustrating the carrier door;

Figs. 5A and 5B are detail illustrations depicting successive positions of components illustrated in Fig. 5;

10 Fig. 6 is a diagrammatic side elevation view, certain parts being shown in section for clarity, illustrating in greater detail certain components illustrated in Fig. 1;

15 Fig. 6A is a diagrammatic detail side elevation view, certain parts being cut away and/or shown in section for clarity, illustrating in greater detail certain components illustrated in Fig. 6 and indicating the carrier door in its closed position;

20 Fig. 6B is a diagrammatic side elevation view illustrating a portion of Fig. 2, similar to Fig. 6A, but indicating the carrier door in its open position;

Fig. 7 is a detail side elevation view illustrating a part of Fig. 6; and

25 Figs. 8A through 8H are successive diagrammatic views depicting the operation of the invention.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

30 Turn now to the drawings and, initially, to Fig. 1 which illustrates a processing system 20 for operating on silicon planar substrates such as wafers and flat panels. As noted above, throughout the remainder of this disclosure, the term "wafer" will be used for purposes of consistency to refer to such substrates, but it will be understood that it is intended to be used in
35 the broad context so as to be applicable to all substrates. The invention is

especially beneficial for operating on the newer size of substrates.

The processing system 20 includes a load lock 22 for initially receiving wafers to be processed and a plurality of single-wafer processing stations 24 for operations on the surfaces of the wafers such as imaging, plasma etching, and the like. It is typical for the processing stations 24 to be arranged about a closed locus as indicated by a dashed line 26. A transport chamber 28 is disposed concentrically within the load lock 22 and processing stations 24 for singly transferring wafers to be processed and after processing between the load lock and one or more of the processing stations 24. A plurality of isolation valves 30 are individually provided at the interfaces of the several processing stations 24 and the transport chamber 28 and between the load lock 22 and the transport chamber 28.

As previously noted, for some time now, it has been known to employ transportable SMIF boxes or containers, herein referred to as "carriers", for maintaining articles, such as semiconductor wafers, clean. This has been achieved by maintaining within each carrier a substantially particle free environment while the wafers are being brought to, or removed from, the processing system 20. Previously, it was customary to carry a large number of the wafers within the carrier by supporting them in a spaced relationship by means of a cassette (not shown). Using this technique, the cassette would be loaded with a supply of wafers, transported into the carrier, then subsequently wafers would be removed from the cassette within the carrier one by one for placement in the load lock 22 or the cassette would be transferred with the wafers within the clean mini-environment existing between the carrier, SMIF box, or the like, and the wafer processing equipment. The SMIF box was a bottom loading design and generally was used to carry substrates sized to a diameter of 200 mm. More recently, carriers are of the FOUP ("front opening unified pod") design intended to carry substrates sized to a diameter of 300 mm.

According to the invention, turning now to Figs. 2 and 3, a modified portable carrier 32 of the FOUP design is provided for supporting and transporting a plurality of wafers 34 (Fig. 3A) in spaced relationship in a substantially

particle free environment. The carrier 32 has a plurality of rack members 36 for supporting the wafers generally horizontally, in a generally vertically spaced relationship. At any one time, all or only some of the rack members 36 may actually be supporting wafers.

5 The carrier 32 includes a housing 37 with a carrier port 38 for providing access to the interior 40 thereof. A carrier door 42 on the carrier is movable between a closed position (Fig. 4A) overlying the carrier port and an open position (Fig. 4B) spaced from the carrier port. The carrier door 42 is
10 illustrated as including a generally rectangular plate 44 and has a peripherally extending continuous raised flange 46. A suitable seal 48 is interposed between the flange 46 and the carrier port 38 for sealing the interior 40 of the carrier from the surrounding atmosphere when the carrier door is in the closed position. For selectively locking the carrier door 42 in
15 place overlying the carrier port 38 and with the flange 46 firmly engaged with the seal 48, a plurality of latch members 50 are provided on the carrier door at peripherally spaced locations movable between an extended, solid line, locking position (Figs. 2 and 5) so as to be engaged with respectively positioned locking recesses 52 on the carrier adjacent the carrier port 38 and
20 a retracted, dashed line, release position disengaged from the locking recesses. Suitable keyway mechanisms externally indicated by latch keyways 54 are operably connected to the latch members for moving the latch members between the locking position and the release position. The mechanisms (not shown) may be linkages or may be solenoid operated or
25 may be of other appropriate design. In a typical manner, when the latch keyways 54 are vertically oriented (Fig. 5A), the latch members 50 are extended such that the carrier door is closed and locked with the flange 46 bearing firmly against the carrier port 38 with the seal 48 interposed between the flange and the port to maintain the particle free environment within the interior 40 of the carrier. When the latch keyways 54 are
30 horizontally oriented (Fig. 5B), the latch members 50 are retracted such that the carrier door is unlocked and capable of removal from the carrier port 38. In this latter condition, the carrier door 42 is free to be removed from the carrier in a manner to be described below.

Also, according to the invention, an isolation housing or mini-environment 58 (see especially Figs. 6, 6A, 6B) is provided for sealingly isolating the load lock 22 and the interior 40 of the carrier 32 from the surrounding atmosphere. The carrier is brought in some suitable fashion from a remote location, then placed on a platform 60 movably mounted on a base 61 which, in turn, is a part of the mini-environment 58 and projects in a direction away from the processing system 20. An upper surface 62 of the platform 60 is provided with a plurality of upstanding posts 64 properly spaced to be received in matching depressions 66 which are provided in a bottom surface of the housing 37 of the carrier (see Fig. 3B). When the posts 64 are fully engaged with the depressions 66, a front surface 68 of the carrier 32 is proximate an outer surface 70 of the mini-environment 58.

The mini-environment has an aperture 72 which is generally aligned with the load lock 22 but spaced therefrom and when the carrier is seated on the platform 60, the carrier door 42 projects through the aperture into the interior of the mini-environment. A suitable seal 74 is provided between the mini-environment 58 and the carrier when the carrier is supported on the platform 60 and advanced toward the outer surface 70. The seal 74 encompasses the carrier port 38 and the aperture 72 in the mini-environment and thereby isolates the interior of the carrier, the interior of the mini-environment and the load lock from the surrounding atmosphere.

The load lock 22 defines a chamber 76 therein having a substantially particle free environment and includes a load lock port 78 opening into the load lock chamber. As noted previously, the load lock 22 is positioned intermediate the carrier 32 and the transport chamber 28. A load lock door 80 is suitably mounted on the load lock for movement between a closed position overlying the load lock port 78 and an open position spaced therefrom.

With continuing reference to Figs. 6, 6A and 6B, and with new reference to Fig. 7, a multilevel wafer receiving station 84 is mounted on a receiving station base 85. As seen more clearly in Fig. 7, the multilevel wafer receiving station 84 includes a mounting manifold 86 which is suitably

mounted on and projects upwardly from the receiving station base 85. A plurality of vertically spaced support plates 92 are integral with the mounting manifold 86 and project outwardly from the mounting manifold in the direction of the carrier 32 and lie in equally spaced parallel planes.

5 The spacing between the support plates 92 is substantially greater than the thickness of a wafer 34 for reasons which will become clear as this description proceeds and each support plate is adapted to support a wafer in a generally horizontal plane. Each of the plurality of spaced support plates
10 92 is aligned with one of the rack members 36 when the load arm is in the active position and, therefore, with an associated wafer 34 supported in the carrier. In order for movement of the carrier 32 toward the multilevel wafer receiving station 84 to commence in the manner about to be described, the load lock door 80 and the carrier door 42 must both be opened and moved to
15 a remote location as seen in Fig. 6A.

Turning again to Figs. 6A and 6B, a shuttle mechanism 94 is provided for moving the platform 60 between the withdrawn (solid line) position and the advanced (dashed line) position receiving the wafer receiving station into its
20 interior 40. The shuttle mechanism 94 includes a suitable shuttle actuator 96 and an actuator rod 98 kinematically connecting the shuttle actuator and the platform 60. As the shuttle mechanism 94 continues to operate, viewing Figs. 6, 6A, and 6B, the carrier 32 with its load of wafers 34 is advanced from left to right, through the aperture 72 of the mini-environment 58, then
25 through the aperture 72 of the load lock 22 until it is fully received in the load lock and fully envelopes the wafer receiving station 84. As earlier noted, the pitch of the support plates 92 is the same as that of the rack members 36. Each support plate 92 thereby is caused to engage from beneath an associated wafer 34 such that the wafer receiving station 84
30 thereby simultaneously retrieves, as a grouping, all of the wafers earlier in the carrier 32. When the carrier 32 reaches its extreme rightward movement, the multilevel wafer receiving station 84, is raised a sufficient distance to lift the wafers, as a grouping, off their associated spaced rack members. Thereupon, while the receiving station 84 retains that elevated
35 positioning, the shuttle mechanism 94 is again operated, this time in such a

manner as to move the carrier to the left, to the retracted position, leaving behind on the wafer receiving station 84 the grouping of the wafers 34 in the load lock chamber 76.

5 After the grouping of the wafers 34 is so positioned on the multilevel wafer receiving station 84 in the load lock chamber 76, a transport arm 97 within the transport chamber 28 may be operated for retrieving the wafers 34, one at a time, from the multilevel wafer receiving station and delivering it to a specified one of the plurality of processing stations 24.

10

In order to operate the multilevel wafer receiving station 84 in the manner just described, it is necessary first to coordinate the opening of the carrier door 42 and of the load lock door 80. The mechanism for achieving this coordinated operation will now be described. Initially, the carrier door is
15 opened, then the load lock door is opened, then both doors together are moved to a location remote from the region between the interior of the carrier and the load lock chamber.

20

A carrier door drive mechanism 100 includes a generally planar door opener 102 movable between a lowered position (Fig. 4B) and a raised position (Fig. 4A) substantially coextensive with the carrier port 38. Viewing Fig. 6, the door opener 102 is also movable between a first solid-line position proximate the carrier port or the aperture 72 of the mini-environment 58 and a second dashed-line position distant from the carrier port. To this end, the door
25 opener 102 is mounted on an extendible transport member 104 which is suitable operated for both translation and pivoting movement by an operating unit 106 of the drive mechanism 100. A mechanism which performs satisfactorily as the carrier door drive mechanism 100 for purposes of the invention is commercially available from Jenoptic-Infab of Jena,
30 Germany and recognized under the trademark "FIXLOAD". Alternate approaches for providing carrier door drive mechanisms are disclosed in U.S. Patent No. 5,609,459 to Muka and in U.S. Patents Nos. 5,607,276, 5,613,821, and 5,664,925 to Muka et al.

35

The carrier door drive mechanism 100 also includes a removal mechanism

generally indicated at 108 for operating the earlier mentioned latch members 50 so as to release the carrier door 42 from the carrier port 38 and simultaneously for supporting the carrier door when the latch members have been operated to release the carrier door from the carrier. The removal mechanism 108 includes selectively operable suction cups 110, or the like, on the door opener 102 which are engageable with the carrier door 42 when the carrier 32 has been advanced by the shuttle actuator 96 into abutting relationship with the door opener. Operation of the suction cups 110 thus cause the firm, though temporary, attachment of the carrier door to the door opener.

Simultaneously, keys 112 of a suitably operable key mechanism on the door opener 102 are engageable with the latch keyways 54 on the carrier door 42 for operating the latch members. As earlier mentioned, when the latch keyways 54 are vertically oriented (Fig. 5A), the latch members 50 are extended such that the carrier door is closed and locked with the flange 46 bearing firmly against the carrier port 38 with the seal 48 interposed between the flange and the port to maintain the particle free environment within the interior 40 of the carrier. When the latch keyways 54 are horizontally oriented (Fig. 5B), the latch members 50 are retracted such that the carrier door is unlocked and capable of removal from the carrier port 38. In this latter condition, the carrier door 42 is free to be removed from the carrier in a manner to be described below.

At such time that the carrier door 42 has been thereby released from the carrier and the suction cups 110 effective to support the carrier door firmly engaged against the door opener 102, the transport member 104 is pivoted to the dashed-line position illustrated in Fig. 6, then caused to descend to the lowered position illustrated in Fig. 4B. The shuttle actuator 96 is then again operated to advance the carrier 32 through the aperture 72 and into the mini-environment 58 (see Fig. 6B). Because the seal 74 peripherally engages the housing 37 of the carrier, the mini-environment again becomes isolated from the surrounding atmosphere. Suitable pumps (not shown) may be operated to evacuate the chamber 76 or to introduce inert gases at this stage of the operation of the processing system 20.

With the carrier door 42 so positioned adjacent the load lock door 80, a load lock door drive mechanism 118 is then operated for moving the load lock door. In the closed position, the load lock door 80 is held firmly against a suitable seal 120 (Fig. 6) interposed between the load lock door and the load lock 22 for isolating the load lock chamber 76 from the atmosphere. The load lock door drive mechanism 118 includes a drive actuator 122 mounted on a base 124 of the mini-environment 58. The drive actuator 122 is vertically disposed with a drive actuator shaft 123 suitably attached to the load lock door 80 to move it between its raised closed position and a lowered open position remote from the region intermediate the carrier and the load lock chamber 76.

It will be appreciated that operation of the multilevel wafer receiving station 84 cannot be achieved until the drive mechanism 118 has been operated to move the load lock door, the coupling device 98, and the carrier door 42 all to the lowered position. The entire sequence of operations is sequentially depicted in Figs. 8A-8H. as indicated in Fig. 8. While the sequence of operations in Figs. 8A-8H is directed toward loading the wafer receiving station 84, the reverse order of operations may be performed to unload the wafer receiving station.

Viewing Fig. 6, an index drive mechanism 126 is provided for vertically moving the wafer receiving station 84. The index drive mechanism 126 includes a suitable index actuator 128 which is of the type which can advance an index actuator shaft 130, as desired, either in a macro fashion rapidly over a relatively long distance or in a micro fashion, that is, in incremental steps. Thus, the index actuator, in one mode of operation, can move the load lock arm between an active position at which all of the support plates 92 are aligned with their associated rack members 36 in the interior 40 of the carrier 42 and an inactive position at which all of the support plates are not so aligned with their associated rack members. Of course, while the index drive mechanism 126 is illustrated as being associated with the load lock 22, it may just as properly be a part of the platform 60 for supporting the carrier 32.

It was previously mentioned that a transport arm 97 within the transport chamber 28 may be operated for retrieving the wafers 34, one at a time, from the multilevel wafer receiving station 84 and delivering it to a specified one of the plurality of processing stations 24. The index drive mechanism 126 may also be operated in its incremental mode to adjust the level of a particular wafer processing station set 24 with robot end effector fingers 138 on the transport arm 97 within the transport chamber 28. In this manner, the transport arm 97 driven by a transport actuator 140 is effective to retrieve wafers one at a time from the multilevel wafer receiving station 84 for delivery to a specified one of the processing stations 24. The transport arm 97 and its associated transport actuator 140 may be of the construction disclosed in commonly assigned U.S. Patent No. 5,180,276 to Hendrickson.

A suitable isolation valve 30, previously mentioned, is provided intermediate the load lock chamber 76 and the transport chamber 28. The isolation valve is sufficiently large to permit passage therethrough of the robot end effector fingers supporting a wafer 34 and is selectively operable to permit fluid intercommunication between the load lock chamber and the transport chamber in one instance and to prevent fluid intercommunication therebetween. Of course, failure to permit fluid intercommunication between the chambers also results in prevention of passage therethrough of the robot end effector fingers supporting a wafer 34. Additionally, a suitable seal 142 is interposed between the load lock 22 and the transport chamber 28 for isolating the load lock and the transport chamber from the surrounding atmosphere when the isolation valve is positioned to permit fluid intercommunication between the load lock chamber and the transport chamber.

A source of vacuum 144 is provided for selectively evacuating the load lock chamber 76 and the transport chamber 28. A conduit 146 extends between the source of vacuum 144 and the load lock chamber and a selectively operable valve 148 in the conduit 146 interconnects the vacuum source and the load lock chamber 76 when the load lock door 80 is closed and disconnects the vacuum source from the load lock chamber when the load

lock door is open. In a similar manner, a conduit 150 extends between the source of vacuum 144 and the transport chamber. A selectively operable valve 152 in the conduit 150 interconnects the vacuum source and the transport chamber when the transport chamber is isolated from the surrounding atmosphere.

While preferred embodiments of the invention have been disclosed in detail, it should be understood by those skilled in the art that various other modifications may be made to the illustrated embodiments without departing from the scope of the invention as described in the specification and defined in the appended claims.

CLAIMS

What is claimed is:

1. A system for batch loading semiconductor wafers comprising:

a portable carrier for supporting and transporting in a substantially particle free environment a plurality of the wafers in substantially spaced relationship, said carrier having a carrier port for providing access to the interior thereof;

a carrier door on said carrier movable between a closed position overlying said carrier port for sealing the interior of said carrier from the surrounding environment and an open position spaced from said carrier port; and

a platform for selectively supporting said carrier thereon, said carrier being movable on said platform between a withdrawn position distant from a wafer receiving station and an advanced position at the wafer receiving station for delivering the plurality of wafers thereto as a grouping.

2. A system as set forth in claim 1

wherein said carrier has a plurality of spaced rack members for supporting the wafers thereon, each of said plurality of spaced rack members being aligned with an associated one of a plurality of spaced shelves on the wafer receiving station.

3. A system as set forth in claim 1

wherein said carrier has a plurality of generally horizontal, vertically spaced, rack members for supporting the wafers thereon, each of said plurality of spaced rack members being aligned with an associated

one of a plurality of generally horizontal, vertically spaced, shelves on the wafer receiving station for reception of the wafers thereon in a stacked relationship.

4. A system as set forth in claim 1 including:

a load lock defining a chamber therein having a substantially particle free environment and including a load lock port opening into the load lock chamber;

a load lock door on said load lock movable between a closed position overlying said load lock port and an open position spaced therefrom; and

a wafer receiving station within the chamber of said load lock;

wherein said carrier has a plurality of generally horizontal, vertically spaced, rack members for supporting the wafers thereon, and

wherein said wafer receiving station includes a plurality of generally horizontal, vertically spaced, shelves for reception from said carrier of the wafers thereon in a stacked relationship, each of said plurality of spaced shelves being aligned with an associated one of said rack members of said carrier.

5. A system as set forth in claim 4 including:

a load lock door drive mechanism for moving said load lock door between the closed and open positions.

6. A system as set forth in claim 1 including:

a carrier door drive mechanism for moving said carrier door between the closed and open positions.

7. A system as set forth in claim 4 including:

a load lock door drive mechanism for moving said load lock door between the closed and open positions; and

a carrier door drive mechanism for moving said carrier door between the closed and open positions when said load lock door is in the open position.

8. A system as set forth in claim 1 including:

an isolation housing for sealingly isolating the load lock chamber and the interior of the carrier from the surrounding atmosphere.

9. A system as set forth in claim 8

wherein said isolation housing has an aperture generally aligned with said load lock port but spaced therefrom and includes a platform for supporting said carrier thereon such that said carrier port is proximate the aperture in said isolation housing; and

including:

seal means between said isolation housing and said carrier when supported on said platform and encompassing said carrier port and the aperture in said isolation housing for isolating the interior of said carrier, the interior of said isolation housing and the load lock chamber from the surrounding atmosphere.

10. A system as set forth in claim 1 including:

seal means between said load lock door and said load lock for isolating the load lock chamber from the atmosphere when said load lock door is in the closed position.

11. A system as set forth in claim 1 including:

shuttle means for moving said platform between the withdrawn position and the advanced position,

12. A system as set forth in claim 1

wherein said shuttle means includes:

a shuttle actuator; and

an actuator rod kinematically connecting said shuttle actuator and said platform.

13. A system as set forth in claim 6

wherein said carrier door includes locking means operable for releasably locking said carrier door to said carrier at said carrier port; and

wherein said carrier door drive mechanism includes:

a generally planar door opener movable between a lowered position and a raised position substantially coextensive with said carrier port, said door opener also being movable between a first position proximate said carrier port and a second position distant from said carrier port; and

removal means for operating said locking means to release said carrier door from said carrier port and for supporting said carrier door when said attachment means has been operated to release said carrier door from said carrier.

14. A system as set forth in claim 13

wherein said locking means includes:

a plurality of latch members on said carrier door movable between a locking position engaged with respectively positioned locking recesses on said carrier adjacent said carrier port and a release position disengaged therefrom; and

keyway means operably connected to said latch members for moving said latch members between said locking position and said release position; and

wherein said removal means includes:

selectively operable suction means on said door opener engageable with said carrier door for firmly attaching said carrier door to said door opener; and

key means on said door opener engageable with said keyway means on said carrier door for operating said latch members.

15. A system as set forth in claim 1 including:

seal means on said carrier adjacent said carrier port and engageable with said carrier door when said carrier door is in the closed position for sealing the interior of said carrier from the surrounding environment.

16. A system as set forth in claim 7 including:

a transport chamber for receiving wafers for transfer to a plurality of processing stations; and

a transport arm within said transport chamber for retrieving wafers one at a time from said wafer receiving station and delivering it to a specified one of the plurality of processing stations.

17. A system as set forth in claim 4 including:

lifting means for incrementally lowering said wafer receiving station as said carrier is moved on said platform between the withdrawn position distant therefrom and the advanced position for delivering the plurality of wafers thereto as a grouping, then incrementally raising said wafer receiving station to accept the plurality of wafers thereon.

18. A system for batch loading semiconductor wafers comprising:

a portable carrier for supporting and transporting a plurality of the wafers in substantially spaced relationship, said carrier having a carrier port for providing access to the interior thereof;

a carrier door on said carrier movable between a closed position overlying said carrier port and an open position spaced from said carrier port;

a load lock defining a chamber therein and including a load lock port opening into the load lock chamber;

a load lock door on said load lock movable between a closed position overlying said load lock port and an open position spaced therefrom; and

a platform for selectively supporting said carrier thereon, said carrier being movable on said platform between a withdrawn position distant from a wafer receiving station and an advanced position at the wafer receiving station for delivering the plurality of wafers thereto as a grouping.

19. A system as set forth in claim 18

wherein said carrier has a plurality of spaced rack members for supporting the wafers thereon, each of said plurality of spaced rack members being aligned with an associated one of a plurality of spaced shelves on the wafer receiving station.

20. A system as set forth in claim 18

wherein said carrier has a plurality of generally horizontal, vertically spaced, rack members for supporting the wafers thereon, each of said plurality of spaced rack members being aligned with an associated one of a plurality of generally horizontal, vertically spaced, shelves on the wafer receiving station for reception of the wafers thereon in a stacked relationship.

21. A system as set forth in claim 18 including:

a wafer receiving station within the chamber of said load lock;

wherein said carrier has a plurality of generally horizontal, vertically spaced, rack members for supporting the wafers thereon, and

wherein said wafer receiving station includes a plurality of generally horizontal, vertically spaced, shelves for reception from said carrier of the wafers thereon in a stacked relationship, each of said plurality of spaced shelves being aligned with an associated one of said rack members of said carrier.

22. A system as set forth in claim 21 including:

a load lock door drive mechanism for moving said load lock door between the closed and open positions.

23. A system as set forth in claim 18 including:

a carrier door drive mechanism for moving said carrier door between the closed and open positions.

24. A system as set forth in claim 21 including:

a load lock door drive mechanism for moving said load lock door between the closed and open positions; and

a carrier door drive mechanism for moving said carrier door between the closed and open positions when said load lock door is in the open position.

25. A system as set forth in claim 18 including:

an isolation housing for sealingly isolating the load lock chamber and the interior of the carrier from the surrounding atmosphere.

26. A system as set forth in claim 25

wherein said isolation housing has an aperture generally aligned with said load lock port but spaced therefrom and includes a platform for supporting said carrier thereon such that said carrier port is proximate the aperture in said isolation housing; and

including:

seal means between said isolation housing and said carrier when supported on said platform and encompassing said carrier port and the aperture in said isolation housing for isolating the interior of said carrier, the interior of said isolation housing and the load lock chamber from the surrounding atmosphere.

27. A system as set forth in claim 18 including:

seal means between said load lock door and said load lock for isolating

the load lock chamber from the atmosphere when said load lock door is in the closed position.

28. A system as set forth in claim 18 including:

shuttle means for moving said platform between the withdrawn position and the advanced position,

29. A system as set forth in claim 18

wherein said shuttle means includes:

a shuttle actuator; and

an actuator rod kinematically connecting said shuttle actuator and said platform.

30. A system as set forth in claim 18

wherein said carrier door includes locking means operable for releasably locking said carrier door to said carrier at said carrier port; and

wherein said carrier door drive mechanism includes:

a generally planar door opener movable between a lowered position and a raised position substantially coextensive with said carrier port, said door opener also being movable between a first position proximate said carrier port and a second position distant from said carrier port; and

removal means for operating said locking means to release said carrier door from said carrier port and for supporting said carrier door when said attachment means has been operated to release said carrier door from said carrier.

31. A system as set forth in claim 18

wherein said locking means includes:

a plurality of latch members on said carrier door movable between a locking position engaged with respectively positioned locking recesses on said carrier adjacent said carrier port and a release position disengaged therefrom; and

keyway means operably connected to said latch members for moving said latch members between said locking position and said release position; and

wherein said removal means includes:

selectively operable suction means on said door opener engageable with said carrier door for firmly attaching said carrier door to said door opener; and

key means on said door opener engageable with said keyway means on said carrier door for operating said latch members.

32. A system as set forth in claim 18 including:

seal means on said carrier adjacent said carrier port and engageable with said carrier door when said carrier door is in the closed position for sealing the interior of said carrier from the surrounding environment.

33. A system as set forth in claim 24 including:

a transport chamber for receiving wafers for transfer to a plurality of processing stations; and

a transport arm within said transport chamber for retrieving wafers one at a time from said wafer receiving station and delivering it to a specified one of the plurality of processing stations.

34. A system as set forth in claim 21 including:

lifting means for incrementally lowering said wafer receiving station as said carrier is moved on said platform between the withdrawn position distant therefrom and the advanced position for delivering the plurality of wafers thereto as a grouping, then incrementally raising said wafer receiving station to accept the plurality of wafers thereon.

35. A system for batch loading semiconductor wafers comprising:

a platform for selectively supporting thereon a portable carrier for supporting and transporting in a substantially particle free environment a plurality of the wafers in substantially spaced relationship, the carrier having a carrier port for providing access to the interior thereof and a carrier door movable between a closed position overlying the carrier port for sealing the interior of the carrier from the surrounding environment and an open position spaced from the carrier port, the carrier being movable on the platform between a withdrawn position distant from a wafer receiving station and an advanced position at the wafer receiving station for delivering the plurality of wafers thereto as a grouping.

36. A system as set forth in claim 35 including:

a load lock defining a chamber therein having a substantially particle free environment and including a load lock port opening into the load lock chamber;

a load lock door on said load lock movable between a closed position

overlying said load lock port and an open position spaced therefrom;
and

a wafer receiving station within the chamber of said load lock, including a plurality of generally horizontal, vertically spaced, shelves for reception from the carrier of the wafers thereon in a stacked relationship, each of said plurality of spaced shelves being aligned with an associated one of a plurality of generally horizontal, vertically spaced, rack members of the carrier for supporting the wafers thereon.

37. A system as set forth in claim 36 including:

a load lock door drive mechanism for moving said load lock door between the closed and open positions.

38. A system as set forth in claim 35 including:

a carrier door drive mechanism for moving the carrier door between the closed and open positions.

39. A system as set forth in claim 36 including:

a load lock door drive mechanism for moving said load lock door between the closed and open positions; and

a carrier door drive mechanism for moving the carrier door between the closed and open positions when said load lock door is in the open position.

40. A system as set forth in claim 35 including:

an isolation housing for sealingly isolating the load lock chamber and the interior of the carrier from the surrounding atmosphere.

41. A system as set forth in claim 40

wherein said isolation housing has an aperture generally aligned with said load lock port but spaced therefrom and includes a platform for supporting said carrier thereon such that the carrier port is proximate the aperture in said isolation housing; and

including:

seal means between said isolation housing and the carrier when supported on said platform and encompassing the carrier port and the aperture in said isolation housing for isolating the interior of the carrier, the interior of said isolation housing and the load lock chamber from the surrounding atmosphere.

42. A system as set forth in claim 35 including:

seal means between said load lock door and said load lock for isolating the load lock chamber from the atmosphere when said load lock door is in the closed position.

43. A system as set forth in claim 35 including:

shuttle means for moving said platform between the withdrawn position and the advanced position.

44. A system as set forth in claim 35

wherein said shuttle means includes:

a shuttle actuator; and

an actuator rod kinematically connecting said shuttle actuator and said platform.

45. A system as set forth in claim 39 including:

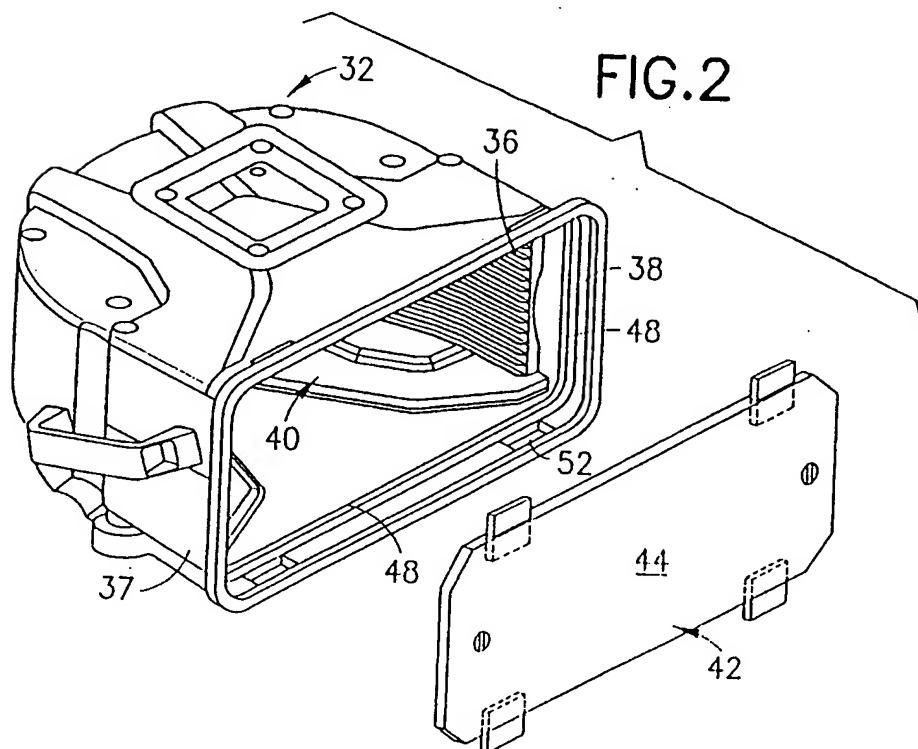
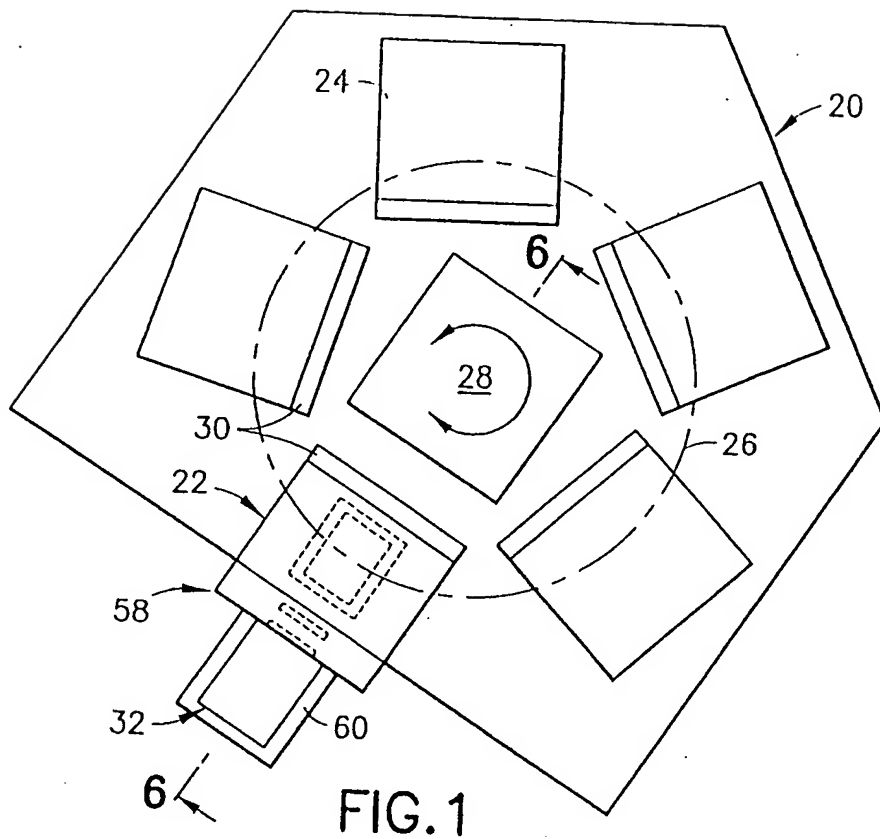
a transport chamber for receiving wafers for transfer to a plurality of processing stations; and

a transport arm within said transport chamber for retrieving wafers one at a time from said wafer receiving station and delivering it to a specified one of the plurality of processing stations.

46. A system as set forth in claim 36 including:

lifting means for incrementally lowering said wafer receiving station as the carrier is moved on said platform between the withdrawn position distant therefrom and the advanced position for delivering the plurality of wafers thereto as a grouping, then incrementally raising said wafer receiving station to accept the plurality of wafers thereon.

1/8



2/8

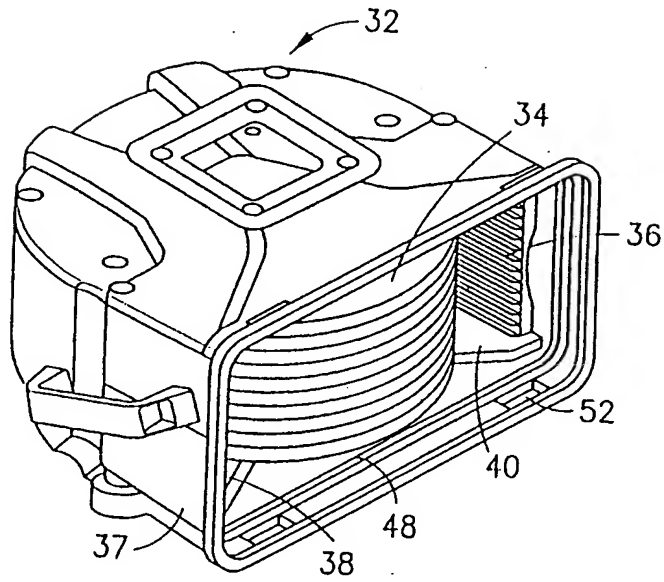


FIG. 3A

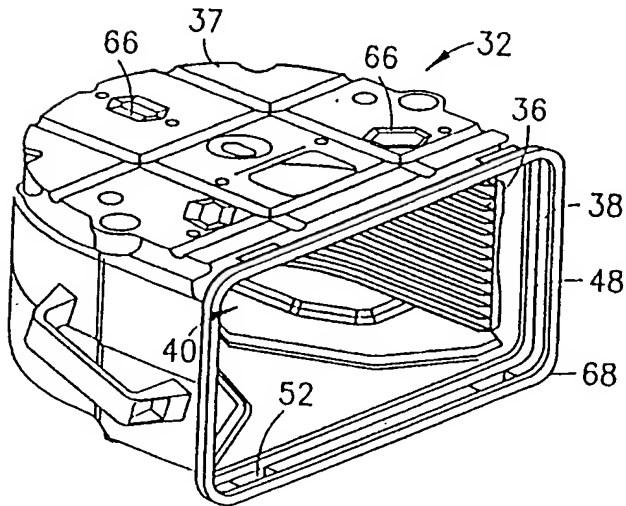


FIG. 3B

3/8

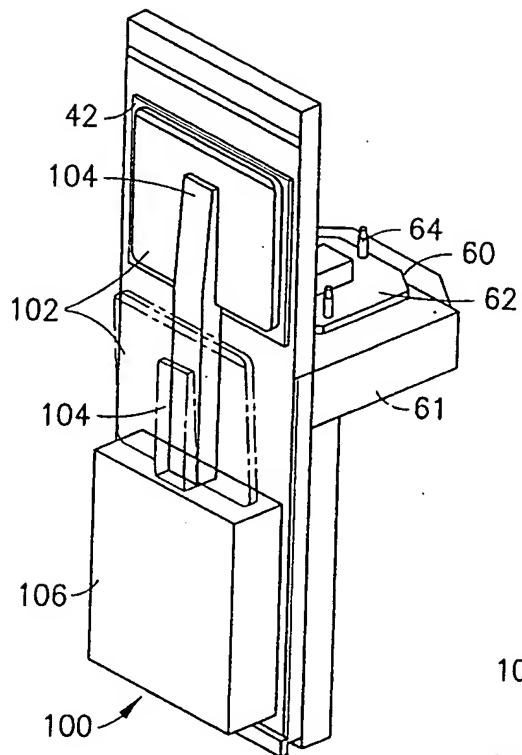


FIG. 4A

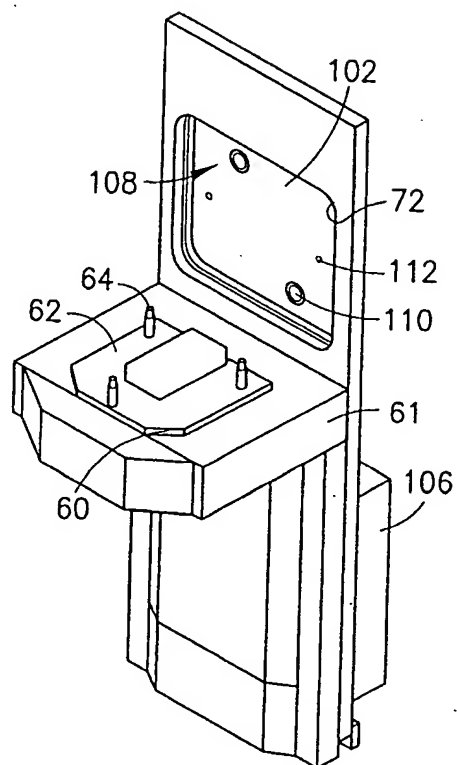


FIG. 4C

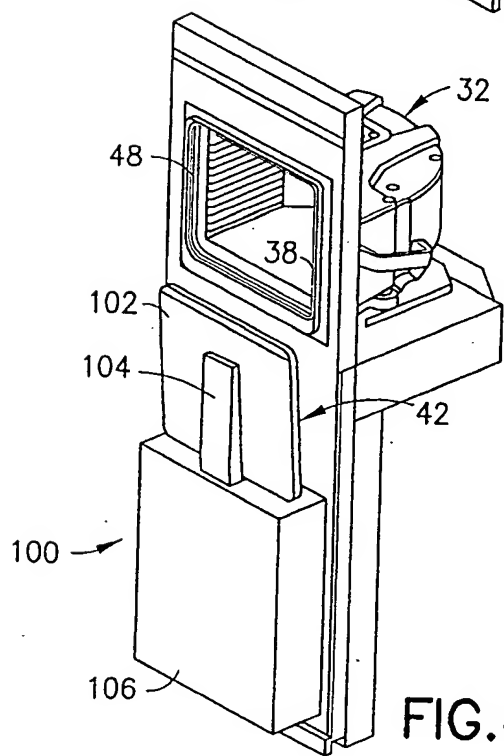
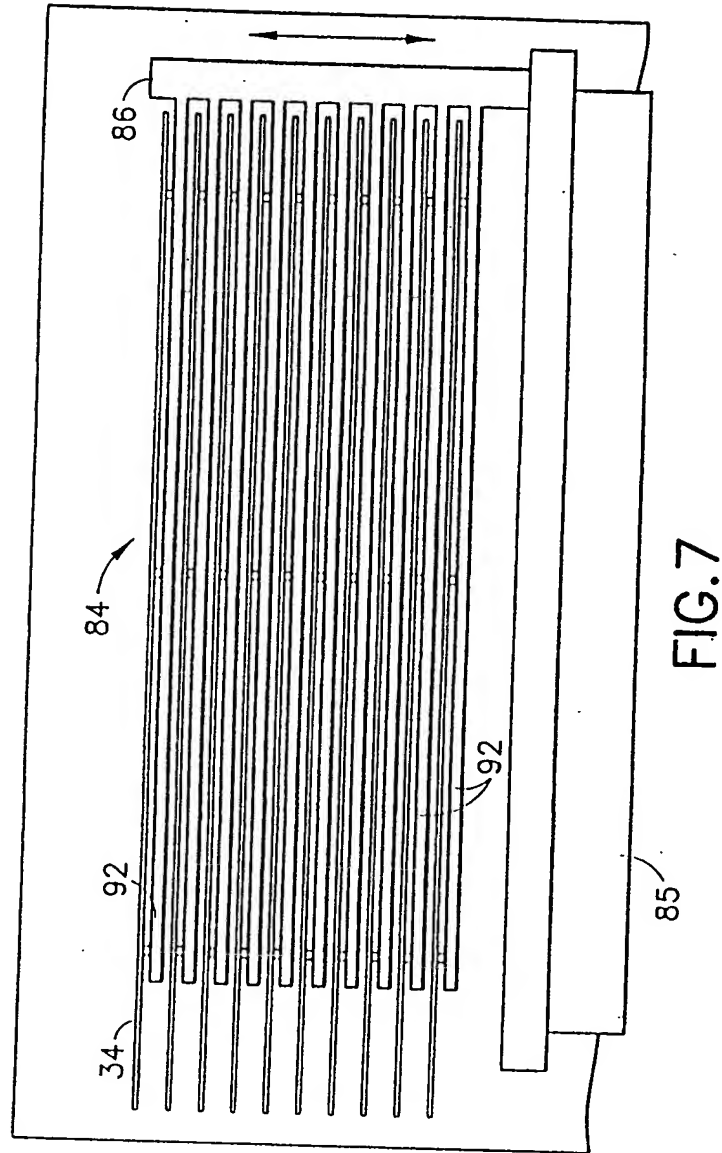
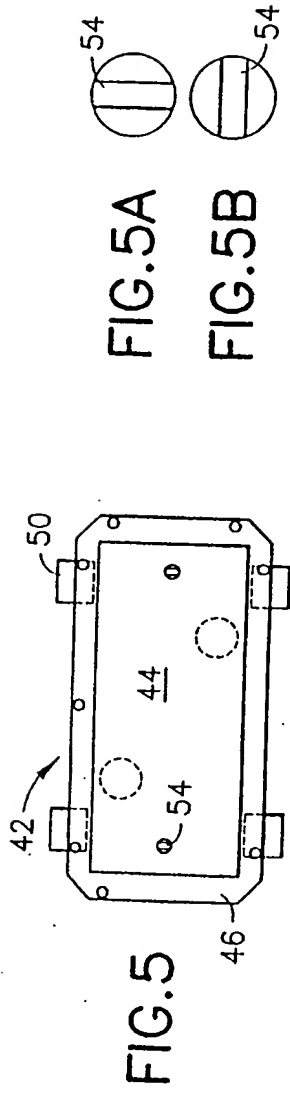
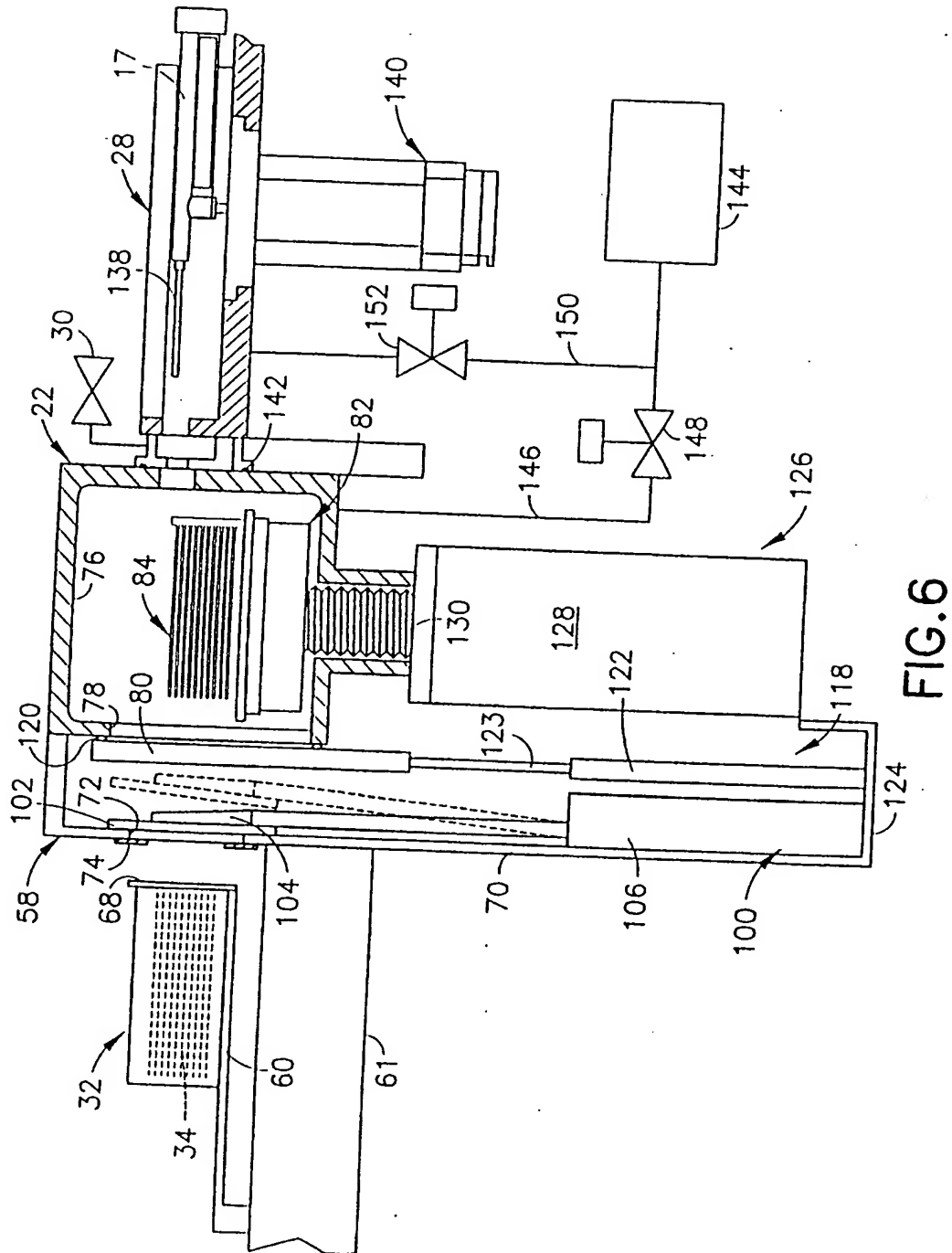


FIG. 4B



5/8



6/8

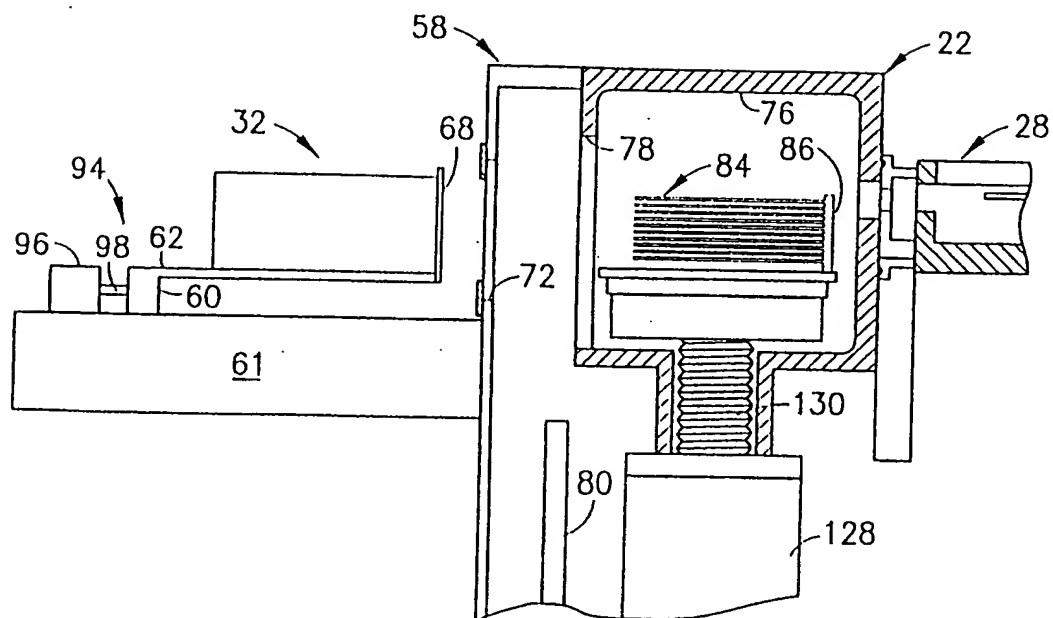


FIG. 6A

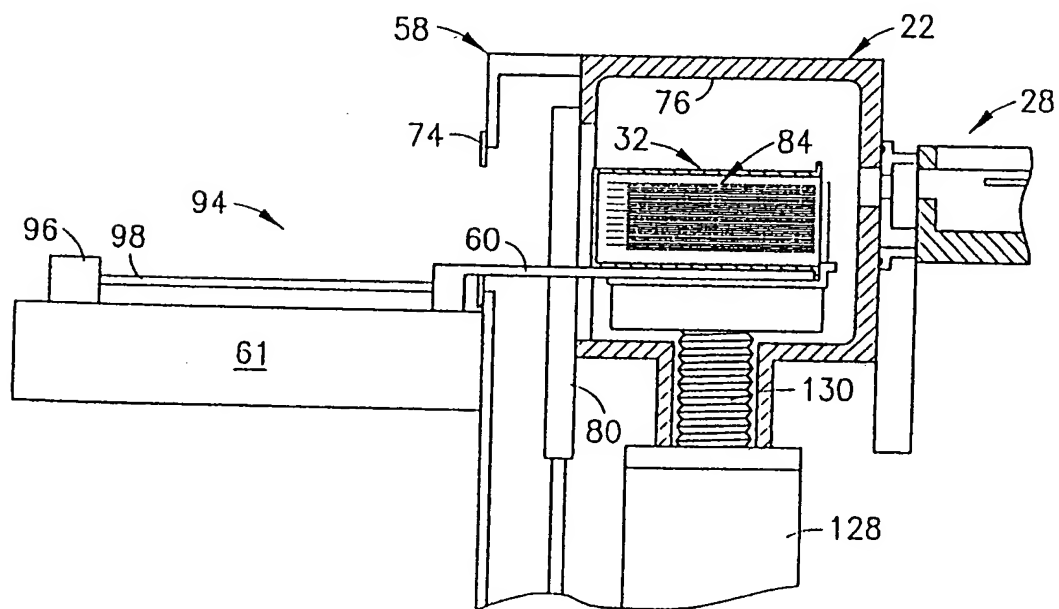


FIG. 6B

7/8

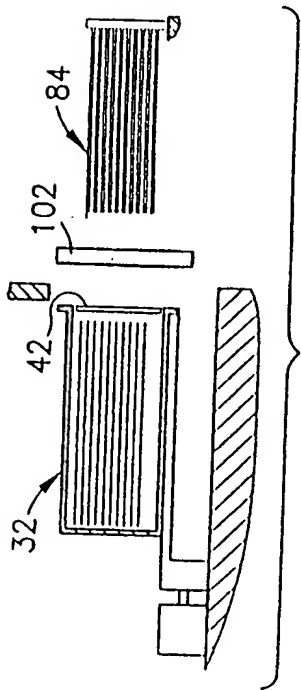


FIG. 8A

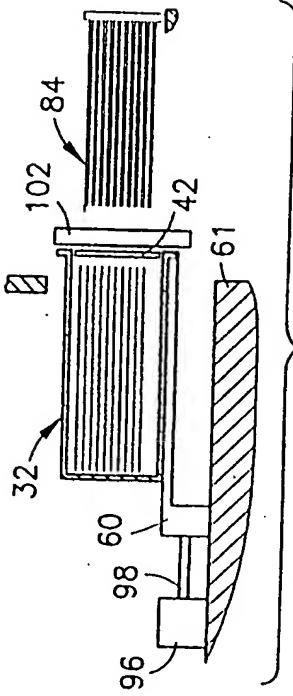


FIG. 8B

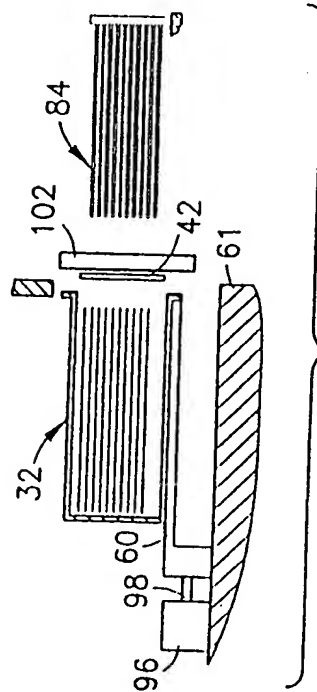


FIG. 8C

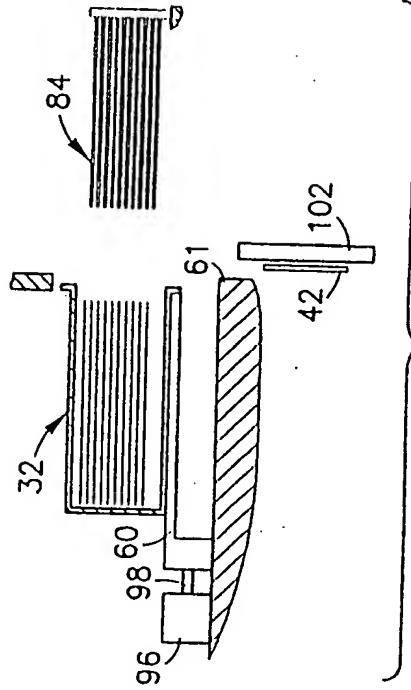


FIG. 8D

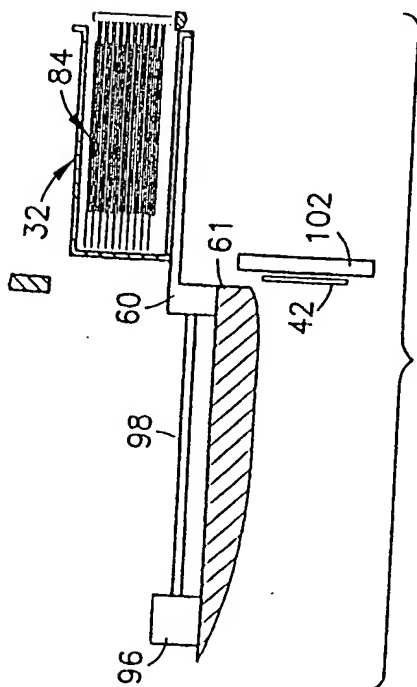


FIG. 8E

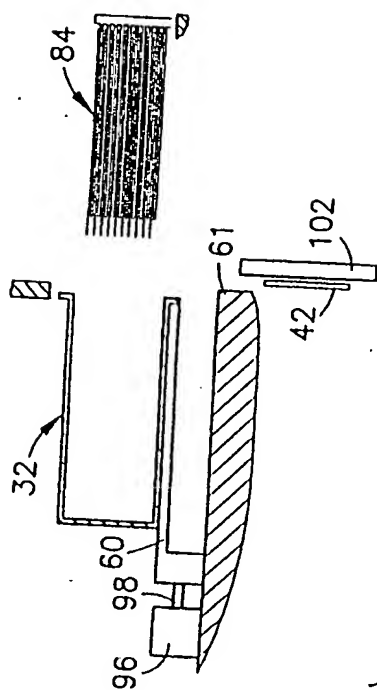


FIG. 8F

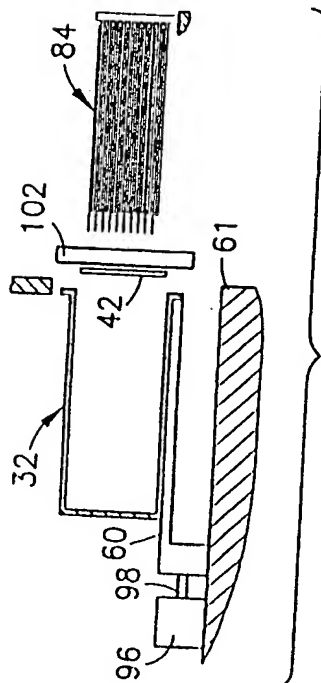


FIG. 8G

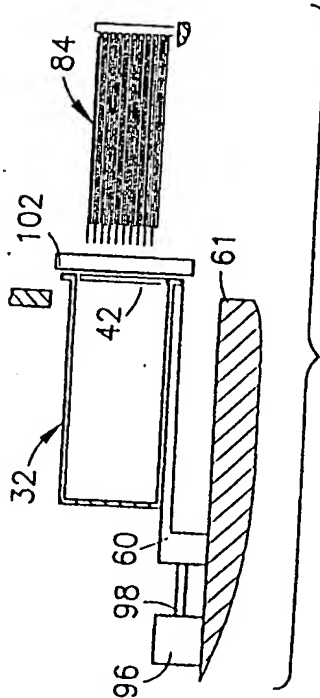


FIG. 8H

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US00/00031

A. CLASSIFICATION OF SUBJECT MATTER

IPC(7) :B65G 49/07

US CL : 414/217,937,940

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 414/217,937,939,940

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched
None

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)
None

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	JP 10321696 A(MIYAJIMA) 04 December 1998. See entire document.	1-46
Y	JP 63190338 A (TAKUOKI) 05 August 1988. See entire document.	1-46
Y	US 5,613,821 (MUKA, ET AL) 25 March 1997. See entire document.	1-46

☐ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

Special categories of cited documents:	
A document defining the general state of the art which is not considered to be of particular relevance	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
E earlier document published on or after the international filing date	*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
L document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
O document referring to an oral disclosure, use, exhibition or other means	*Z* document member of the same patent family
P document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

20 MARCH 2000

Date of mailing of the international search report

26 APR 2000

Name and mailing address of the ISA/US
Commissioner of Patents and Trademarks
Box PCT
Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer

STEVEN A. BRATLIE

Telephone No. (703) 308-2669